

# A Multi-Task Framework for Monitoring Health Conditions via Attention-based Recurrent Neural Networks

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## Abstract

*Monitoring the future health status of patients from the historical Electronic Health Record (EHR) is a core research topic in predictive healthcare. The most important challenges are to model the temporality of sequential EHR data and to interpret the prediction results. In order to reduce the future risk of diseases, we propose a multi-task framework that can monitor the multiple status of diagnoses. Patients' historical records are directly fed into a Recurrent Neural Network (RNN) which memorizes all the past visit information, and then a task-specific layer is trained to predict multiple diagnoses. Moreover, three attention mechanisms for RNNs are introduced to measure the relationships between past visits and current status. Experimental results show that the proposed attention-based RNNs can significantly improve the prediction accuracy compared to widely used approaches. With the attention mechanisms, the proposed framework is able to identify the visit information which is important to the final prediction.*

## 1 Introduction

Disease monitoring is often limited by physician experience, test time, economic barriers and so on. The Electronic Health Record (EHR), which consists of longitudinal health information of patients, is a valuable source for exploratory analysis to monitor diseases and assist clinical decision making. However, due to the complexity of EHR data, the efficient mining of EHRs is not trivial. Firstly, EHR data is heterogeneous which contains various types of features. For example, type of visit is a categorical feature while body mass index is continuous. In addition, some features are static through the lifetime while some change dynamically. Models should be able to capture the essence of heterogeneous features. Secondly, the data is inherently sparse and noisy, due to patient's irregular visits, absence of tests, and incomplete recording, etc. Thirdly, result interpretation in healthcare applications is essential, and the lacking of interpretability often hinders the adaption of models in clinical settings. Thus, how to correctly model heterogeneous and sparse EHR data and reasonably interpret the prediction results is a challenging problem for disease prediction.

Recent work has made rapid progress in utilizing EHRs for predictive modeling tasks in healthcare, including predicting unplanned readmission<sup>1</sup>, early prediction of chronic disease<sup>2</sup>, adverse event detection<sup>3</sup> and monitoring disease progression<sup>4</sup>. In these settings, the EHRs are typically represented as temporal sequences of medical visits, and each visit contains a set of objects (such as diagnosis and procedure codes). The main idea is to learn a good representation of a patient's historical health information, in order to improve the performance of the prediction for future risks. To capture the progression of the patient's health status, much effort has been made on regression models<sup>5</sup> and Markov models<sup>6</sup>. However, these models cannot take into account the long-term dependencies of diagnoses, which may miss several severe symptoms in the past and reduce the performance of disease monitoring.

In order to model the dependencies of diagnoses, deep learning techniques, such as recurrent neural networks, can be employed. Recent work<sup>1,2,7-9</sup> shows that deep learning can significantly improve the prediction performance. To handle the temporality of multivariate sequences, dynamically modeling the sequential data is necessary. Recurrent neural networks (RNNs), in particular Long Short-Term Memory (LSTM) and Gated Recurrent Units (GRU), have achieved state-of-the-art performance in handling long-term dependencies and nonlinear dynamics. Taking advantage of the capability of RNN in memorizing historical records, multiple recent models based on RNNs are employed for deriving accurate and robust representations of patient visits. The work by Lipton et al.<sup>10</sup> applies LSTM to a multilabel classification task for diagnosing multiple diseases in the future, and the contemporary work by Choi et

al.<sup>11</sup> applies GRU to predict codes for subsequent visits. Both of them show the efficacy of basic RNN models in modeling longitudinal healthcare data. Among the state-of-the-art models, RETAIN<sup>12</sup> adopts a temporal attention generation mechanism to learn both visit level and code level weights; GRAM<sup>13</sup> is a graph-based attention model, which uses medical ontologies to handle data insufficiency and combines with an RNN to learn robust representations; and Diploe<sup>14</sup> uses bidirectional recurrent neural networks (BRNNs) to further improve the prediction accuracy.

The aforementioned models are RNN-based frameworks which use medical codes as inputs to predict whether the diagnosis or treatment will appear in the future visit, i.e., *binary prediction*. However, for some diseases, the doctor may care about the transition and severity level of the clinical event, i.e., **multiple prediction**. For example, if a person is likely to have osteopenia, the doctor may suggest more exercises and supplements, while if osteoporosis occurs, medications will be necessary. To measure the severity of diagnoses, the diagnosis values may be discretized into multiple status: normal range and abnormal range of different severity (i.e. low/high abnormal range), following doctor’s advice or medical references. As a disease may be characterized by multiple important observations or diagnoses, we need to monitor these variables simultaneously.

In this paper, our goal is to predict the status of multiple diagnoses (or observations), with each diagnosis having multiple severity levels. We form our problem as multi-task learning, which first learns a shared representation from all the features, and then performs task-specific predictions. We propose an attention-based RNN model to monitor patient’s longitudinal health information. First, we use an RNN to memorize all the information from historical visits, and then attention mechanisms to measure visit importance. Based on the latent representation, we train multiple classifiers and each focuses on the prediction of a specific task. We perform our model on two applications: predicting chronic states for bone health, and monitoring BloodTest values for cardiovascular disease. Our main contributions can be summarized as follows:

- We propose a multi-task framework to monitor the future status of different clinical diagnoses. We process the monitored diagnoses to multiple severity status following medical references, which can help doctors to make more precise decisions on controlling risks.
- We employ three attention mechanisms to evaluate the importance of previous visits to prediction tasks. This gives the explanation of visit importance, which can provide suggestions for doctors to pay more attention on the information from a specific timestamp.
- Our experiments show promising results of using RNNs to handle historical health information from longitudinal records. We empirically show that the proposed attention-based RNNs outperform widely used methods in multi-diagnoses prediction on real world EHR datasets.

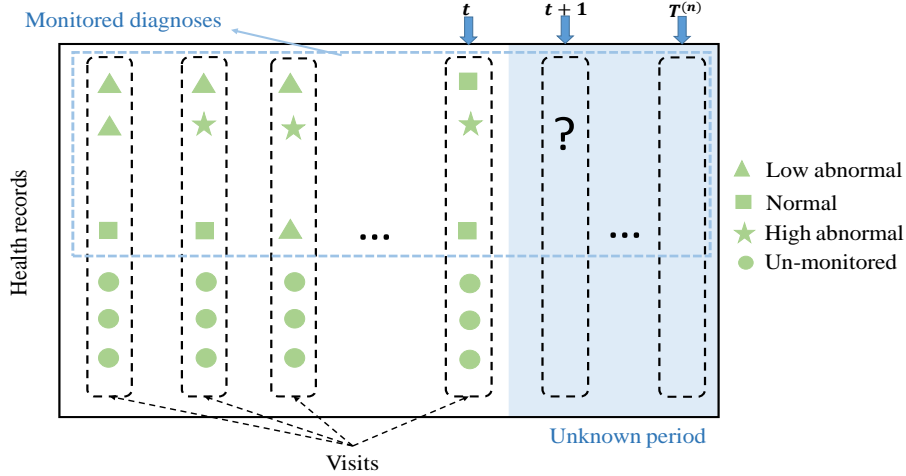
This work will result in an effective tool for the physicians to monitor disease progression for early treatment in a more efficient way. This framework can be used real-time on a regularly scheduled basis which highlights patients whose disease state is more likely to worsen.

## 2 Method

In this section, we first introduce the format of our healthcare datasets and some basic notations. Then we describe the details of the proposed framework, including the preliminary of RNN structure, proposed attention mechanisms, and the multi-task model. Finally, we describe the interpretation for analyzing the importance of different visits.

### 2.1 Basic Notations

The EHR data contains heterogeneous variables such as diagnosis results, lab data, and physical functions. Among them, diagnosis results are what we care about most, and we want to monitor their progression. Other variables are risk factors that may potentially influence patient’s health status. Assume that there are  $N$  patients and  $M$  diagnoses to be monitored, and the total number of visit records for the  $n$ -th patient is  $T^{(n)}$ . The health record of a patient can be represented by a sequence of visits  $V_1, V_2, \dots, V_{T^{(n)}}$ . Each visit  $V_t$  is denoted by a vector of feature variables  $x_t$ . To monitor patient’s health status progression, the diagnosis results are discretized into several classes, indicating



**Figure 1:** An example of health records of the  $n$ -th patient.

the severity level of the disease, following doctor’s opinions or medical references. For example, in a patient’s visit for bone health test, bone mineral density (BMD) in different areas such as femoral neck and intertrochanteric is measured, and the X-ray scan results can be diagnosed as normal, osteopenia and osteoporosis. We want to predict the severity range of BMD value in each bone area in this patient’s next visit. For simplicity, we describe the proposed method for a single patient and drop the superscript  $(n)$  in the following notations when it is unambiguous. Figure 1 illustrates the health records of one patient in our data. The patient has multiple visits, and each visit contains multiple variables. Each monitored variable falls into a severity range. Suppose that we are currently at time  $t$  and want to know the diagnoses at time  $(t + 1)$ , this patient’s historical records from  $V_1$  to  $V_t$  can be utilized for the training of the model.

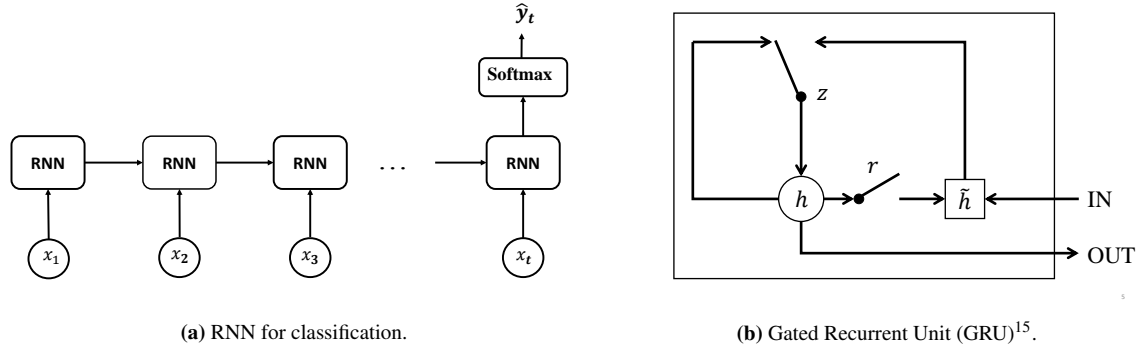
## 2.2 Model

The basic component of our framework is gated recurrent unit, which is a state-of-the-art deep learning architecture for modeling long range sequences. To further improve its performance, we apply attention mechanisms to measure the importance of historical sequences. To predict the status of multiple diagnoses, we add a multi-task classification layer on top of the learned representations.

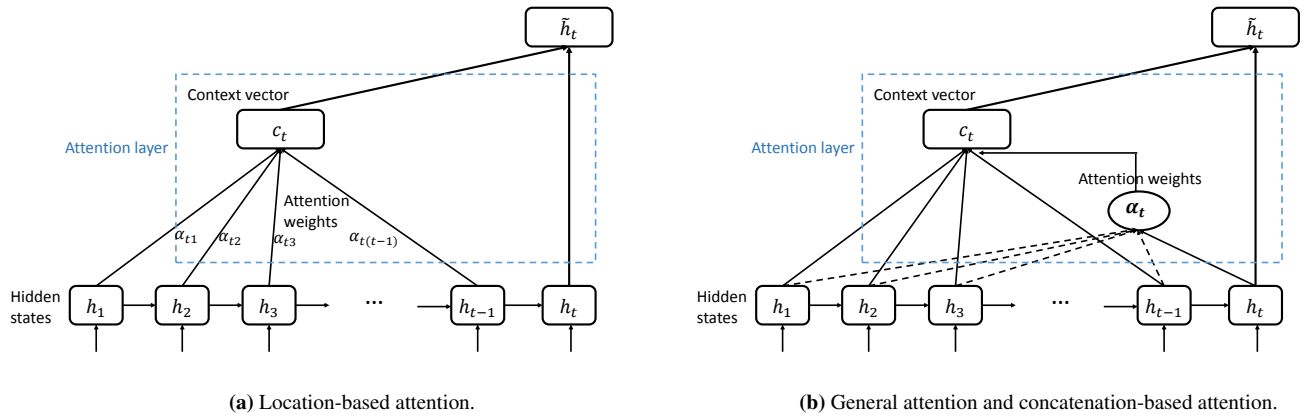
### Recurrent Neural Network

Recurrent neural network (RNN) captures the characteristics of the input sequence by recursively updating its internal hidden states. Figure 2(a) shows the unfolded RNN structure for a general classification task. For the first visit  $V_1$  (i.e.,  $t = 1$ ), RNN learns a hidden state  $h_1$  to represent the input feature vector  $x_1$ ; as time moves to  $t = 2$ , feature vector  $x_2$  together with  $h_1$  are fed into the RNN to update parameters in the network, and the learned hidden state  $h_2$  contains information from both  $x_2$  and  $x_1$ . Through updating the network parameters recursively, the hidden state  $h_t$  learns all the previous information from  $x_1$  to  $x_{t-1}$ . Then a *softmax* classifier is applied on  $h_t$  to perform classification. As the parameters of the network are shared by each visit, RNN can handle patients with different visit lengths.

We implement our RNN with Gated Recurrent Units (GRU)<sup>15</sup>, which has been shown to have comparable performance as Long-Short Term Memory (LSTM), while employing a simpler architecture. The structure of GRU is shown in Figure 2(b). A GRU has two gates, a reset gate  $r$  and an update gate  $z$ . Intuitively, the reset gate determines the combination of the new input and the previous memory, which allows the hidden layer to drop irrelevant information that is not useful to the prediction, and the update gate controls how much information from the previous hidden layer



**Figure 2:** Illustration of RNN models.



**Figure 3:** Attention mechanisms.

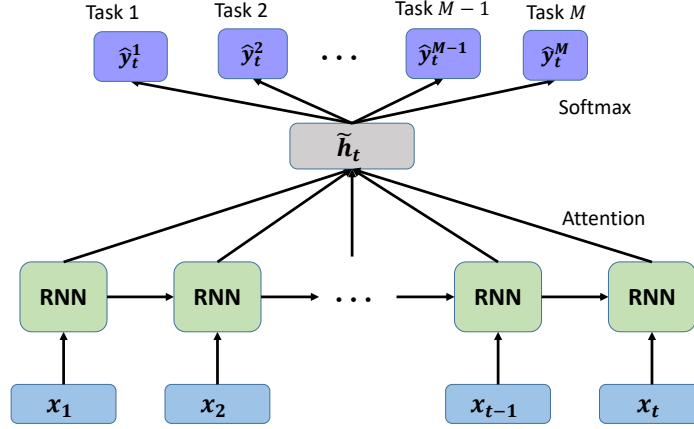
should keep around. The mathematical formulation of GRU can be described as follows:

$$\begin{aligned}
 z_t &= \sigma(W_z x_t + U_z h_{t-1} + b_z), & r_t &= \sigma(W_r x_t + U_r h_{t-1} + b_r) \\
 \tilde{h}_t &= \tanh(W x_t + r_t \circ U h_{t-1} + b_h), & h_t &= z_t \circ h_{t-1} + (1 - z_t) \circ \tilde{h}_t
 \end{aligned}
 \tag{1}$$

where  $\circ$  denotes the entry-wise product,  $\sigma$  is the activation function,  $r_t$  and  $z_t$  represent the reset gate and update gate at time  $t$  respectively,  $\tilde{h}_t$  is the intermediate memory unit, and  $h_t$  is the hidden unit. Matrices  $W_r, W_z, W, U_r, U_z, U$  and vectors  $b_r, b_z, b$  are model parameters to be learned. At time  $t$ , we take the hidden state  $h_t$  to predict the labels of time  $(t + 1)$ .

### Attention Mechanism

As mentioned in the above section, RNN can remember the past information for future prediction. However, it is limited to only a few latest steps, with more impact from later ones, and may not be able to discover major influences from earlier timestamps. Therefore, we apply attention mechanisms to memorize the effect from long-time dependencies, which have gained success in many tasks. In neural machine translation<sup>18</sup>, the attention mechanism can be intuitively described as follows: given a sentence of length  $S$  in the original language, RNN is adopted to generate the word representations  $h_1, \dots, h_{|S|}$ . To find the  $t$ -th word in the target language, we assign each word in the original language an attention score  $\alpha_{ti}$ , and then calculate a context vector  $c_t = \sum_{i=1}^{|S|} \alpha_{ti} h_i$  to perform prediction. Through attention mechanism, RNN can focus on specific words when generating each target word. Similarly, in diagnoses prediction, we use a temporal attention mechanism to predict medical results in the  $(t + 1)$ -th visit, according to visit



**Figure 4:** Overview of the proposed model.

records from  $x_1$  to  $x_t$ . The hidden state  $h_t$  from the  $t$ -th visit can be estimated as a representation for the  $(t + 1)$ -th visit. However, it may not contain enough long-term visit information. Therefore, we need to derive a context vector  $c_t$  which captures relevant information to help prediction. We propose three methods to compute attention score  $\alpha_t$  in order to obtain the context vector  $c_t$ : location-based attention, general attention and concatenation-based attention.

The attention mechanisms are illustrated in Figure 3. The general procedure goes as follows: we first obtain a set of hidden states through the GRU layer, and then calculate the attention score  $\alpha_t$  for each of them, in order to obtain the context vector  $c_t$ ; an attentional hidden state  $\tilde{h}_t$  is then calculated by combining  $c_t$  and  $h_t$ . Thus  $\tilde{h}_t$  contains both current and historical information. Location-based attention, as in Figure 3(a), calculates the attention score solely from each individual hidden state  $h_i (1 \leq i \leq t - 1)$  using formula:  $\alpha_{ti} = \mathbf{W}_\alpha^T h_i + b_\alpha$ , where  $\mathbf{W}_\alpha$  and  $b_\alpha$  are parameters to be learned. Since location-based attention mechanism only considers each hidden state individually, it does not capture the relationships between the current hidden state and all the previous hidden states. The other two attention mechanisms, as shown in Figure 3(b), calculate attention weight  $\alpha_{ti}$  by considering the relationship between  $h_t$  and  $h_i$ . General attention uses a weight matrix  $\mathbf{W}_\alpha$  to connect  $h_t$  and  $h_i$  through formula:  $\alpha_{ti} = h_t^T \mathbf{W}_\alpha h_i$ . For concatenation-based attention, we first concatenate the current hidden state  $h_t$  and the previous state  $h_i$ , and then calculate a latent vector by multiplying a weight matrix  $\mathbf{W}_\alpha$ . Thus the attention weight vector is generated as:  $\alpha_{ti} = v_\alpha^T \tanh(\mathbf{W}_\alpha [h_t; h_i])$ .

After obtaining  $\alpha_t$ , we can obtain the context vector  $c_t$  through formula  $c_t = \sum_{i=1}^{t-1} \alpha_{ti} h_i$ , which contains the weighted hidden representations of the past visits from  $x_1$  to  $x_{t-1}$ . To combine the information from context vector  $c_t$  and the current hidden state  $h_t$ , we employ a simple concatenation layer to generate an attentional hidden state  $\tilde{h}_t$  using  $\tilde{h}_t = \tanh(\mathbf{W}_c [c_t; h_t])$ , where  $\mathbf{W}_c$  is the weight matrix to be learned.  $\tilde{h}_t$  contains all the information from  $x_1$  to  $x_t$ , such that the prediction task can be performed on top of  $\tilde{h}_t$ .

### Multi-task Diagnosis Prediction

Our task is to predict the status of multiple measurement results at the time  $(t + 1)$  given the historical records from  $x_1$  to  $x_t$ . Figure 4 shows a high-level overview of the proposed model. Given the information from time 1 to  $t$ , the  $i$ -th visit's health record  $x_i$  is fed into an RNN network, which outputs a hidden state  $h_i$  as the representation of the  $i$ -th visit. Along with the set of hidden states  $\{h_i\}_{i=1}^{t-1}$ , we compute their relative importance  $\alpha_t$ , and then obtain a context state  $c_t$ . From the context state  $c_t$  and the current hidden state  $h_t$ , we can obtain an attentional hidden state  $\tilde{h}_t$ , which is used to predict diagnoses in the  $(t + 1)$ -th visit. For the prediction, we use  $M$  softmax classifiers, which correspond to the  $M$  different diagnoses, to predict the severity level for each diagnosis. The representation  $h_t$  contains the visit information of all the input features, and the task-specific classifier focuses on the prediction of each diagnosis.

To perform the multi-task classification, we feed the hidden state  $\tilde{\mathbf{h}}_t$  into each task through a classification layer. Thus the information of the  $k$ -th diagnosis in the  $(i + 1)$ -th visit can be produced by  $\hat{\mathbf{y}}_t^k = \text{Softmax}(\mathbf{W}_s^k \tilde{\mathbf{h}}_t + \mathbf{b}_s^k)$ , where  $\mathbf{W}_s^k$  and  $\mathbf{b}_s^k$  are parameters to be learned. We use cross-entropy between the ground truth  $\mathbf{y}_t$  and the predicted  $\hat{\mathbf{y}}_t$  to calculate the classification loss. The total loss is the sum of cross-entropy among all the diagnoses categories in predicted visits of patients. The loss function  $L$  can be described as:

$$\mathcal{L} = -\frac{1}{N} \sum_{n=1}^N \frac{1}{T^{(n)}} \sum_{t=1}^{T^{(n)}} \sum_{k=1}^M \left\{ (\mathbf{y}_t^k)^\top \log(\hat{\mathbf{y}}_t^k) + (1 - \mathbf{y}_t^k)^\top \log(1 - \hat{\mathbf{y}}_t^k) \right\}, \quad (2)$$

where  $N$  is the number of patients,  $M$  is the number of monitored diagnoses, and  $T^{(n)}$  is the number of visits of the  $n$ -th patient. In the training procedure, we estimate parameters in the proposed models by minimizing the loss function (2).

### Interpretation

In healthcare applications, giving interpretation of the learned representations is important. Here we evaluate the contribution of the past visits to the prediction of future status in the process of learning latent representations. Since we adopt attention mechanisms, the importance of each visit can be found by analyzing its attention score. For example, for the  $t$ -th prediction, if the attention score  $\alpha_{ti}$  is large, then the probability of the  $(i + 1)$ -th visit information related to the current prediction is high. In most cases, the last visit is usually important for chronic diseases, as patient’s health status usually does not change much during two visits. However, since disease progression is complex and affected by many factors, the disease can get better or worse. Thus the health information of specific earlier visits may be more important for some patients. Therefore, the attention mechanism can help doctors to pay attention to specific important visits in the past.

## 3 Experiments

We conduct experiments on two real-word datasets, and evaluate the performance of the proposed attention-based RNN models compared to other prediction methods. Moreover, we use case studies to understand the behavior of the proposed models.

### Datasets

*Study of Osteoporotic Fractures Dataset.* The study of osteoporotic fracture (SOF)<sup>20</sup> is the largest and most comprehensive study focused on bone diseases. It includes 20 years longitudinal data about osteoporosis of 9,704 Caucasian women aged 65 years and older. Potential risk factors and confounders belong to several groups such as demographics, family history, and lifestyle. We process people’s bone health diagnoses of different areas using the bone mineral density (BMD) values by comparison with young healthy references<sup>17</sup>, resulting in three BMD levels: normal, osteopenia and osteoporosis.

*BloodTest Dataset.* This dataset<sup>21</sup> contains multivariate blood tests of 3,000 patients affected by cardiovascular disease from the University Hospital of Catanzaro, Italy. For each patient, there are several blood tests during their in-hospital stay, such as hemoglobin, triglycerides, glucose, and calcium. As suggested by doctors, we pick 12 blood analytes variables which are important to cardiovascular. Each variable has a normal range provided by doctors. Knowing variable transitions in advance can alarm doctors to take actions before the abnormal occurs, in order to reduce the risk of diseases.

As a common issue of EHR, these datasets are irregular sampled and sparse, so that data preprocessing is needed. For each person, we remove those visits without any monitored variables recorded, and remove patients with less than three visits. We use simple imputation to fill missing variables. For the SOF data, we fill the missing variables with the values in the previous visit. For the BloodTest data, we impute missing sequences (where a single variable is missing entirely) with a clinical normal value. This is based on an assumption that clinicians believed it to be normal so that they did not measure it. Other missing variables are filled with the median value of other patients. After data preprocessing and extraction, we obtain the datasets with statistics shown in Table 1.

**Table 1:** Statistics of datasets.

Dataset	SOF	BloodTests
Number of patients	5,318	2,055
Number of visits	22,313	18,758
Average number of visits per patient	4.19	9.13
Number of normal claims	25,145	221,642
Number of low abnormal claims	55,399	17,407
Number of high abnormal claims	31,021	79,837
Total number of features	42	17
Number of monitored diagnoses	5	17

## Experimental Setup

For each patient, we want to predict the diagnosis results of each visit based on his/her previous records. To validate the performance of the proposed models in this diagnosis prediction task, we conduct experiments on two categories of methods: baselines and RNN-based models.

We set up two kinds of baselines. The first baseline is to use the median value of each monitored variable from  $V_1$  to  $V_t$  to predict  $V_{t+1}$  for continuous variables. This is based on a heuristic assumption that the most frequent state is more likely to occur. For each patient, we use his/her most popular health status as the current status, regardless of time variations. The second baseline is a multi-task logistic regression (LR). To predict information at  $V_{t+1}$ , we feed the health records at  $V_t$  to a logistic regression model with multiple softmax classifiers. This can be viewed as a simplified model of Figure 4 without using RNNs and attention mechanism to learn latent states. This model only considers the effect from previous one time step, rather than long time history.

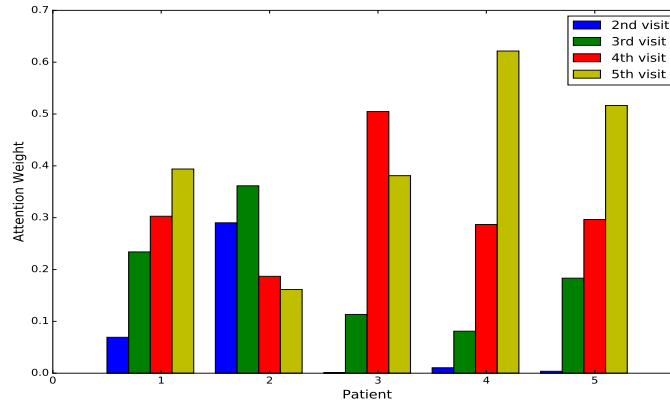
For the proposed methods, we have several variants, including a plain RNN or attention-based RNNs. For RNN, the architecture is similar to the proposed model, but without the attention mechanism.  $RNN_l$ ,  $RNN_g$  and  $RNN_c$  are three attention-based RNN models, whose architecture is shown in Figure 4.  $RNN_l$ ,  $RNN_g$  and  $RNN_c$  stands for location-based, general and concatenation-based attention respectively. The attention mechanism of  $RNN_l$  can be seen in Figure 3(a), and attention mechanism of  $RNN_g$  and  $RNN_c$  can be seen in Figure 3(b).

The proposed approaches are implemented with Theano 0.7.0<sup>22</sup>. Adadelta<sup>16</sup> with a mini-batch of 50 patients is used to optimize Eq. (2). To evaluate prediction performance, we define the accuracy as the ratio between correctly predicted severity status and the total number of variables to be predicted.

## Results of Diagnosis Prediction

Table 2 shows the accuracy of the proposed approaches in comparison with baselines on the two datasets. For each patient in the testing set, we predict the health conditions for the subsequent visits using his/her historical health records. For the SOF dataset, we predict the probability of BMD states of normal, osteopenia and osteoporosis for different measurements such as hip and femoral neck. For the BloodTest dataset, we predict the probability of each blood analyte falling into normal, low abnormal and high abnormal. The results are averaged over 5 random trials of 5-fold cross validation. *Avg.# Correct* represents the average number of correctly predicted claims of 5 random trials. *Accuracy* represents the ratio between correctly predicted claims and total number of claims to be predicted.

We can observe that RNN based methods outperform other baselines. The method of predicting with median values considers each variable separately, without taking into account the time trend and feature relationships. This intuitive method is very sensitive to noise, and cannot capture the correlation between variables. It performs the worst in the BloodTest dataset, possibly due to the reason that variables in that dataset are not independent but have strong correlations (e.g. MYO-CKM-TRHS-GPT-GL-GOT-LDH). Logistic regression (LR) takes the whole inputs into a classifier with multiple softmax functions, in order to classify each monitored variable. The structure of LR can be viewed as the framework of Figure 4 without latent representations to memorize historical information. The inputs of



**Figure 5:** Attention weights of five persons, each with four visits.

LR include all the features, such that features can impact on each target task. However, there is no way for logistic regression to memorize historical information, as it only takes information from the nearest one visit. Attention-based RNNs outperform the above baselines. This owes to the capability of RNN in memorizing long-term dependencies of patient’s longitudinal health records, and the attention-based mechanisms can further enhance this capability. For the two datasets,  $RNN_l$ ,  $RNN_g$  and  $RNN_c$  can clearly outperform plain RNN. Since the prediction of RNN mostly depends on recent visits, it may not memorize all the past information. Through attention-mechanism,  $RNN_l$ ,  $RNN_g$  and  $RNN_c$  can fully take all the previous visit information into consideration, assign different attention scores for past visits, and achieve better performance compared to RNN.

**Table 2:** Prediction performance on two datasets.

Method	Osteoporosis fracture		Cardiovascular blood test	
	Avg.# Correct	Accuracy	Avg.# Correct	Accuracy
Median	10,509	0.8209±0.0057	32,253	0.7616±0.0013
LR	10,125	0.7909±0.0069	34,836	0.8225±0.0077
RNN	10,769	0.8412±0.0042	36,167	0.8540±0.0051
$RNN_l$	<b>10,822</b>	<b>0.8454±0.0031</b>	36,443	0.8605±0.0056
$RNN_g$	10,805	0.8440±0.0027	36,423	0.8600±0.0059
$RNN_c$	10,816	0.8449±0.0023	<b>36,560</b>	<b>0.8632±0.0051</b>

### Visit Interpretation

The attention mechanism can be used to understand the importance of historical visits to the current visit. As an example, here we analyze the concatenation-based attention mechanism on the SOF dataset. Figure 5 shows a case study for predicting the diagnoses in the sixth visit through the previous five visits. The concatenation-based attention weights are calculated for the visits from  $V_2$  to  $V_5$  according to the hidden states  $h_1, h_2, h_3$  and  $h_4$ . Thus, we have four attention scores corresponding to the visits from  $V_2$  to  $V_5$ . In Figure 5, we select five patients for visualization. The X-axis represents patients, and Y-axis is the attention score calculated for each visit. We can observe that for different patients, the attention scores learned by this attention mechanism are different.

For chronic diseases, the last visit is often the most important since patients’ health conditions change slowly. As in the figure, for the first, fourth and fifth patients, the importance of visit increases with time going on. However, this is not always the case due to the complexity of disease progression and impact from risk factors. Table 3 shows the variation of bone mineral density (BMD) diagnoses and attention scores of different visits of the second patient. In each visit, there are five different BMD diagnoses, and the values in the table indicate the severity of bone density



**Table 3:** BMD diagnoses and attention scores of one patient with six visits on SOF dataset. 0 is normal, 1 is osteopenia, and 2 (osteoporosis) does not occur for this patient.

Diagnoses\Visits	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
Total hip	0	0	0	0	0
Femoral neck	1	1	0	0	1
Intertrochanteric	0	0	0	0	0
Trochanteric	0	0	0	0	0
Wards	1	1	1	1	1
Attention weights	0.290	0.361	0.187	0.162	–

loss. Although  $V_4$  and  $V_5$  are closer to  $V_6$  in terms of time,  $V_2$  and  $V_3$  have the same condition as  $V_6$ . Thus health records of  $V_2$  and  $V_3$  are more important to  $V_6$ . We can see that the attention mechanism correctly assigns larger weights to  $V_2$  and  $V_3$ . As for the BloodTest dataset, using attention mechanism to memorize all the past information is also important. An abnormal blood analyte can temporarily turn into normality via medicine, but it may fall back after some time. Therefore, interpreting visit importance through the attention mechanism can help to better monitor disease progression.

In diagnosis prediction, making decisions using very recent record is usually not enough, and it is important to lookup long term health information. To understand the relationship between the length of patient medical history and the prediction performance, we select 1,000 patients from the BloodTest dataset with more than seven visits. Table 4 shows the accuracy of  $RNN_l$  in predicting the diagnoses from  $V_2$  to  $V_7$ . We can see that with the number of visit increasing, the performance can often improve. We believe that it is due to the fact that RNN is able to learn better estimates of patient information as it memorizes longer health records.

**Table 4:** Prediction accuracy for  $V_2$  to  $V_7$  on BloodTest dataset.

Visit	Accuracy
$V_2$	0.8579
$V_3$	0.8624
$V_4$	0.8706
$V_5$	0.8792
$V_6$	0.8780
$V_7$	0.8735

## 4 Conclusions

In this paper, we introduce attention-based RNN architectures to predict patients’ disease progression. In particular, we monitor multiple diagnoses status simultaneously, based on patients’ historical health records. By employing recurrent neural network, our model can remember hidden knowledge learned from previous visits. Three attention mechanisms allow us to interpret the prediction results reasonably. Experimental results on two real world EHR datasets show the effectiveness of the proposed attention-based RNN models for simultaneously predicting multiple diagnoses. Analysis shows that the attention mechanisms can assign meaningful weights to previous visits when predicting the future visit information. The proposed approach can be widely used for the prediction of a variety of different diseases.

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